



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Bharat Aggarwal

Serial No.: 10/602,303

Filed: June 24, 2003

For: TREATMENT OF HUMAN MULTIPLE

MYELOMA BY CURCUMIN

Group Art Unit: 1642

Examiner: Unknown

Atty. Dkt. No.: CLFR:234US

CERTIFICATE OF MAILING 37 C.F.R 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to:, MS AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below:

May 5, 2005

Date

David L. Parker

INFORMATION DISCLOSURE STATEMENT

MS AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R §§ 1.97(g), (h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be

an admission that the information cited is, or is considered to be, material to patentability as

defined in 37 C.F.R. § 1.56(b).

The present Information Disclosure Statement is being filed prior to the receipt of a first

Official Action reflecting an examination on the merits, and hence is believed to be timely filed

in accordance with 37 C.F.R § 1.97(b). No fees are believed to be due in connection with the

filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R.

§§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the

Commissioner is authorized to deduct the appropriate fees from Fulbright & Jaworski Deposit

Account No.: 50-1212/CLFR:234US.

Applicant respectfully requests that the listed documents be made of record in the present

case.

Respectfully submitted,

David L. Parker

Reg. No. 32,165

Attorney for Applicant

FULBRIGHT & JAWORSKI L.L.P. 600 Congress Avenue, Suite 2400 Austin, Texas 78701 (512) 474-5201

Date:

May 5, 2005

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	Form PTO-1449 (modified)		Atty. Docket No.	Serial No.	
			CLFR:234US	10/602,303	
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U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A1	2001/0025034	11/27/01	Arbiser	514	114	1/18/01
	A2	2002/0019382	2/14/02	Snyder et al.	514	210.2	12/4/00
	A3	2002/0035090	3/21/02	Zeldis	514	58	5/14/01
	A4	2004/002499	1/01/04	Aggarwal	514	251	4/24/03
	A5	2005/0049299	3/03/05	Aggarwal	514	456	8/25/04
	A6	5,891,924	4/6/99	Aggarwal	514	679	9/26/96

Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
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Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation		
	C1	Brennan et al., "Inhibition of nuclear factor kappaB by direct modification in whole cells-mechanism of action of nordihydroguaiaretic acid, curcumin and thiol modifiers," Biochem. Pharamacol., 55:965-973, 1998.		
	C2	Cheng et al., "Phase I chemoprevention clinical trail of curcumin,," Proc. Am. Soc. Clin. Oncol. 17:558a, 1998.		
	C3	Estrov et al., "Phenylarsine oxide blocks interleukin-1beta-induced activation of the nuclear transcription factor NF-kappaB, inhibits proliferation, and induces apoptosis of acute myelogenous leukemia cells," <i>Blood</i> , 94:2844-2853, 1999.		
	C4	Feinman et al., "Role of NF-kappaB in the rescue of multiple myeloma cells from glucocorticoid-induced apoptosis by bcl-2," Blood, 93:3044-3052, 1999.		

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EXAMINER: DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Form PTO-1449 (modified)	Atty. Docket No. CLFR:234US	Serial No. 10/602,303
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Exam. Init.	Ref. Des.	Citation
	C5	Giri and Aggarwal, "Constitutive activation of NF-kappaB causes resistance to apoptosis in human cutaneous T cell lymphoma HuT-78 cells. Autocrine role of tumor necrosis factor and reactive oxygen intermediates," <i>J. Biol. Chem.</i> , 273:14008-14014, 1998.
	C6	Han et al., "Curcumin causes the growth arrest and apoptosis of B cell lymphoma by downregulation of egr-1, c-myc, bcl-XL, NF-kappa B, and p53," Clin. Immunol., 93:152-161, 1999.
	C7	Hour et al., "Curcumin enhances cytotoxicity of chemotherapeutic agents in prostate cancer cells by inducing p21(WAF1/CIP1) and C/EBPbeta expressions and suppressing NF-kappaB activation," Prostate, 51:211-218, 2002.
	C8	Huang et al., "Effect of dietary curcumin and dibenzoylmethane on formation of 7,12-dimethylbenz[a]anthracene-induced mammary tumors and lymphomas/leukemias in Sencar mice," Carcingenesis, 19:1697-1700, 1998.
	C9	Ichiki et al., "Regulation of activator protein-1 activity in the mediastinal lymph node metastasis of lung cancer," Clin. Exp. Metastasis, 18:539-545, 2001.
	C10	Inano et al., "Chemoprevention by curcumin during the promotion stage of tumorigenesis of mammary gland in rats irradiated with gamma-rays," Carcingenesis, 20:1011-1018, 1999.
	C11	Jaffe et al., "Adjuvant methotrexate and citrovorum-factor treatment of osteogenic sarcoma," N. Engl. J. Med., 291:994-997, 1974.
	C12	Jang et al., "A curcuminoid and sesquiterpenes as inhibitors of macrophage TNF-alpha release from Curcuma zedoaria," Planta Med. 67:550-552, 2001.
	C13	Jaruga et al., "Apoptosis-like, reversible changes in plasma membrane asymmetry and permeability, and transient modifications in mitochondrial membrane potential induced by curcumin in rat thymocytes," FEBS Lett., 433(3):287-293, 1998.
	C14	Jobin et al., "Curcumin blocks cytokine-mediated NF-kappa B activation and proinflammatory gene expression by inhibiting inhibitory factor I-kappa B kinase activity," J. Immunol., 163:3474-3483, 1999.
	C15	Kawamori et al., "Chemopreventive effect of curcumin, a naturally occurring anti-inflammatory agent, during the promotion/progression stages of colon cancer," Cancer Res. 59:597-601, 1999.

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EXAMINER:	DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

Form PTO-1449 (modified)		Atty. Docket No.	Serial No.
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List of Patents and Publications for	Applicant's	Applicant	
		Bharat Aggarwal	
INFORMATION DISCLOSURE STATEMENT			
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Exam. Init.	Ref. Des.	Citation
	C16	Kumar et al., "Curcumin (Diferuloylmethane) inhibition of tumor necrosis factor (TNF)-mediated adhesion of monocytes to endothelial cells by suppression of cell surface expression of adhesion molecules and of nuclear factor-kappaB activation," Biochem. Pharmacol., 55:775-783, 1998.
	C17	Mehta et al., "Antiproliferative effect of curcumin (diferuloylmethane) against human breast tumor cell lines," Anti-Cancer Drugs, 8:470-481, 1997.
	C18	Menon et al, "Anti-metastatic activity of curcumin and catechin," Cancer Lett., 141:159-165, 1999.
	C19	Mohan et al., "Curcuminoids inhibit the angiogenic response stimulated by fibroblast growth factor-2, including expression of matrix metalloproteinase gelatinase B," J. Biol. Chem, 275:10405-10412,2000.
	C20	Navis et al., "Dietary curcumin with cisplatin administration modulates tumour marker indices in experimental fibrosarcoma," <i>Pharmacol. Res.</i> , 39:175-179, 1999.
	C21	Ni et al., "Analysis of expression of nuclear factor kappa B (NF-kappa B) in multiple myeloma: downregulation of NF-kappa B induces apoptosis," Br. J. Haematol. 115:279-286, 2001.
	C22	Pahl et al., "Activators and target genes of Rel/NF-kappaB transcription factors," Oncogene, 18:6853-6866, 1999.
	C23	Pan et al., "Comparative studies on the suppression of nitric oxide synthase by curcumin and its hydrogenated metabolites through down-regulation of IkappaB kinase and NFkappaB activation in macrophages," Biochem. Pharmacol., 60:1665-1676, 2000.
	C24	Plummer et al., "Inhibition of cyclo-oxygenase 2 expression in colon cells by the chemopreventive agent curcumin involves inhibition of NF-kappaB activation via the NIK/IKK signalling complex," Oncogene, 18:6013-6020, 1999.
	C25	Podar et al., "Essential role of caveolae in interleukin-6- and insulin-like growth factor I-triggered Akt-1-mediated survival of multiple myeloma cells," J. Biol. Chem., 278(8):5794-801, 2002.
	C26	Ramachandran et al., "Differential sensitivity of human mammary epithelial and breast carcinoma cell lines to curcumin," Breast Cancer Res. and Treat., 54:269-278, 1999.

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	C27	Rao et al., "Chemoprevention of colon carcinogenesis by dietary curcumin, a naturally occurring plant phenolic compound," Cancer Res., 55:259-266, 1995.
	C28	Shishodia and Aggarwal, "Nuclear factor-B activation: a question of life and death," J. Biochem Mol. Biol., 35:28-40, 2002.
	C29	Simon et al., "Inhibitory effect of curcuminoids on MCF-7 cell proliferation and structure-activity relationships," Cancer Lett., 129:111-116, 1998.
	C30	Singh and Aggarwal, "Activation of transcription factor NF-kappa B is suppressed by curcumin (diferuloylmethane)" J. Biol. Chem., 270:24995-25000, 1995.
	C31	Singletary <i>et al.</i> , "Inhibition of 7,12-dimethylbenz[a]anthracene (DMBA)-induced mammary tumorigenesis and DMBA-DNA adduct formation by curcumin," <i>Cancer Lett.</i> , 103:137-141, 1996.
	C32	Sonneveld et al., "Cyclosporin A combined with vincristine, doxorubicin and dexamethasone (VAD) compared with VAD alone in patients with advanced refractory multiple myeloma: an EORTC-HOVON randomized phase III study (06914)," Br. J. Haematol., 115(4):895-902.
	C33	Westerheide et al., "The putative oncoprotein Bcl-3 induces cyclin D1 to stimulate G(1) transition" Mol. Cell. Biol. 21:8428-8436, 2001.
	C34	Zhang et al., "" Zhongguo Yaolixue Tongbao, 17(6):702-704, 2001 (Abstract).
	C35	Zhang et al., "Curcumin inhibits cyclooxygenase-2 transcription in bile acid- and phorbol estertreated human gastrointestinal epithelial cells," Carcinogenesis, 20:445-451, 1999.
	C36	Zhang et al., "Tyrosine kinase inhibitor emodin suppresses growth of HER-2/neu- overexpressing breast cancer cells in athymic mice and sensitizes these cells to the inhibitory effect of paclitaxel," Clin. Cancer Res., 5:343-353, 1999.

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			Filing Date:	June 2	June 24, 2003		
			First Named Inventor:	Bhara	Bharat Aggarwal		
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Printed Name	David L. Parke	er			Reg. No.	32,165	
Date	Date May 5, 2005						
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